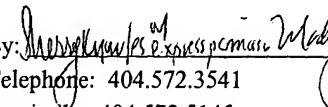
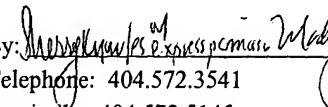
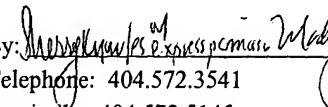


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new non-provisional applications under 37 CFR 1.53(b))</i>	<i>Title of Invention</i> <b>Sphingolipid Derivatives and Their Methods of Use</b>		
	<i>Named Inventor(s)</i> <b>Liotta et al.</b>		
	<i>Attorney Docket</i> <b>18085.105233CON1 (EMU 2010)</b>		
	<i>Express Mail Label No.</i> <b>EV242821577US</b>		
<table style="width: 100%;"> <tr> <td style="width: 50%; text-align: center; vertical-align: middle;"> <b>APPLICATION ELEMENTS</b> </td> <td style="width: 50%; padding-left: 20px;"> <b>ADDRESS TO:</b>  Mail Stop Patent Application  Commissioner for Patents  P. O. Box 1450  Alexandria, VA 22313-1450 </td> </tr> </table>		<b>APPLICATION ELEMENTS</b>	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450
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<p>17. If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information:  <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/249,211  Prior application information: Examiner: Leigh C. Maier Group/Art Unit: 1623  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
<p>18. CORRESPONDENCE ADDRESS:</p> <table style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> Sherry M. Knowles  45<sup>th</sup> Floor  191 Peachtree Street, N.E.  Atlanta, Georgia 30303 </td> <td style="width: 50%; vertical-align: top;"> By:  Reg. No. 33,052  Telephone: 404.572.3541  Facsimile: 404.572.5145  Customer No. 20786 </td> </tr> </table>		Sherry M. Knowles 45 <sup>th</sup> Floor 191 Peachtree Street, N.E. Atlanta, Georgia 30303	By:  Reg. No. 33,052 Telephone: 404.572.3541 Facsimile: 404.572.5145 Customer No. 20786
Sherry M. Knowles 45 <sup>th</sup> Floor 191 Peachtree Street, N.E. Atlanta, Georgia 30303	By:  Reg. No. 33,052 Telephone: 404.572.3541 Facsimile: 404.572.5145 Customer No. 20786		

03917 U.S. PTO  
10/647801  
08/25/03

17712 U.S. PTO  
08/25/03

# **FEE TRANSMITTAL**

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Liotta *et al.*

Filing Date: August 25, 2003

Title: Sphingolipid Derivatives and Their Methods of Use

The filing fee is calculated as shown below:

## 1. **FILING FEE:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$375.00	375.00	\$750.00	
<input type="checkbox"/> DESIGN FILING FEE	\$165.00		\$330.00	
<input type="checkbox"/> PLANT FILING FEE	\$255.00		\$510.00	
<input type="checkbox"/> REISSUE FILING FEE	\$370.00		\$740.00	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80.00		\$160.00	
SUBTOTAL (1)		375.00		

## 2. **CLAIMS:**

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	66 - 20 =	46	x \$9 =	\$414.00	2 x \$18 =	
INDEP. CLAIMS	7 - 3 =	4	x \$42 =	\$168.00	0 x \$84 =	
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+\$140 =	\$140.00	+\$280 =	
SUBTOTAL (2)				\$722.00		

## 3. **ADDITIONAL FEES:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH				
<input type="checkbox"/> NON-ENGLISH SPECIFICATION				
<input type="checkbox"/> OTHER				
SUBTOTAL (3)				

**TOTAL FILING FEES: \$1,097.00**

A check is enclosed for the amount: **\$1,097.00**

☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account **11-0980**.

KING & SPALDING

45<sup>th</sup> Floor, 191 Peachtree Street, N.E.

Atlanta, Georgia 30303

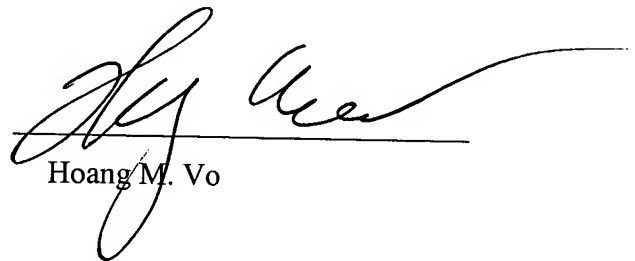
Telephone: 404.572.3541

By: Sherry M. Knowles Date: August 25, 2003

Sherry M. Knowles, Attorney for Applicant Reg. No. 33,052

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10  
FOR UTILITY PATENT APPLICATION FILING**

I hereby certify that this Request for filing a Continuation Application, Transmittal of Utility Patent Application, Utility Patent Application, and Fee Transmittal, along with any documents referred to as attached therein are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee", services under 37 CFR 1.10, Mailing Label Number **EV 242821577US** addressed to Mail Stop PATENT APPLICATION; The Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



Hoang M. Vo

Date: **August 25, 2003**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : Continuation of U.S. Application No. 09/249,211  
Applicant : Liotta *et al.*  
Filed : Herewith  
TC/AU : Unassigned  
Examiner : Unassigned  
  
Docket No. : 18085.105233 CON1 (EMU 2010)  
Customer No. : 20786

Commissioner for Patents  
Mail Stop Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR FILING A CONTINUATION APPLICATION UNDER 37 CFR § 1.53(b)**

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Sir:

This is a request by Dennis C. Liotta, Alfred H. Merrill, Jr., Thomas E. Keane, Kapil N. Bhalla, and Eva M. Schmelz for filing a Continuation Application under 37 C.F.R. § 1.53(b) of pending prior application U.S. Serial No. 09/249,211, filed on February 12, 1999, currently pending.

Enclosed is a copy of the prior application U.S.S.N. 09/249,211 as originally filed on February 12, 1999. The application includes one hundred and twenty-eights pages of specification, claims and abstract, and fourteen sheets of formal drawings.

The listed inventors on this application are Dennis C. Liotta, Alfred H. Merrill, Jr., Thomas E. Keane, Kapil N. Bhalla, and Eva M. Schmelz. Each inventor except Kapil N. Bhalla has assigned his/her interest to Emory University, which assignment was recorded on May 24, 1999, at Reel 009975, Frame 0905. On May 21, 1999, Applicants filed a Petition Under 37

C.F.R. 1.47(a) to accept this application for prosecution without the oath of co-inventor, Kapil N. Bhalla. The grant of this Petition was mailed by the PTO on June 22, 2000. A copy of the Decision According Status Under 37 CFR 1.47 (a) is enclosed. Also enclosed are copies of the executed Declarations for Patent Application and Powers of Attorney, which also list the initial inventors of this application without the signature of Kapil N. Bhalla.

A Preliminary Amendment is enclosed. Applicants request entry of the Preliminary Amendment prior to examination of this application.

Applicants enclose a check for \$1097.00 to cover the filing fee. The Commissioner for Patents is authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 11-0980.

Respectfully submitted,

*Sherry M. Knowles w/ express permission*

*Madeley* 36,174

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Sherry M. Knowles  
Reg. No. 33,052

Date: August 25, 2003

**Express Mail Label EV242821577US**

King & Spalding LLP  
191 Peachtree Street  
Atlanta, Georgia 30303  
404-572-4720 (telephone)  
404-572-5145 (facsimile)  
Attorney Docket No. 18085.105233CON1 (EMU 2010)